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Patent No. 6944365

Issue Date: 9-13-2005

Application No. 10/037,816

Filing Date: 1-3-2002

First Named Inventor: Samuel Lee Miller

Docket Number: MEMX0024

Please change the Correspondence Address for the above-identified patent to:

Customer Number 78735

NeoPhotonics Corporation is the assignee of record of the entire interest.

STATEMENT UNDER 37 CFR 3.73(b):

Patent Owner: NeoPhotonics Corporation

Patent title: OFF AXIS OPTICAL SIGNAL REDIRECTION ARCHITECTURES

NeoPhotonics Corporation is the assignee of the entire right, title and interest by virtue of a chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as follows:

Total Assignments: 2

Patent #: 6944365 Issue Dt: 09/13/2005 Application #: 10037816 Filing Dt: 01/03/2002
Publication #: 20030123788 Pub Dt: 07/03/2003

Inventors: Samuel Lee Miller, Paul Jackson McWhorter

Title: OFF AXIS OPTICAL SIGNAL REDIRECTION ARCHITECTURES

Assignment #

Reel/Frame: 012453/0819

Recorded: 01/03/2002

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: MILLER, SAMUEL LEE

Exec Dt: 12/31/2001

10/037,816

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Reel/Frame: 021064/0810

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Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: MEMX INC.

Exec Dt: 05/26/2006

Assignee: NEOPHOTONICS CORPORATION

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Assignee is recorded at Reel/Frame 012453/0819 and 021064/0810.

The undersigned, (whose title is supplied below) is authorized to act on behalf of the assignee.

NEOPHOTONICS CORPORATION

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General Counsel

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7-7-2008
Date

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being
facsimile transmitted to the United States Patent
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